

Rec'd PCTO 12 MAR 1997

FORM PTO-1390
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

B-3289PCT 615920-5

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

08/809340

INTERNATIONAL APPLICATION NO.

PCT/EP95/03451

INTERNATIONAL FILING DATE

01 September 1995

PRIORITY DATE CLAIMED

14 September 1994

TITLE OF INVENTION

"THERMOFORMING APPARATUS FOR THERMOFORMABLE MATERIALS IN RIBBON OR SHEET-FORM"

APPLICANT(S) FOR DO/EO/US

PADOVANI, Pietro

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendemnts has NOT expired.
 - d. ☒ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)):- will be filed belatedly.
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment. deleting multiple dependent claims
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - copy of PCT/IB/308
 - copy of published PCT application
 - copy of Chapter II Demand
 - copy of Written Opinion
 - copy of Response to Written Opinion
 - copy of International Preliminary Examination Report
 - copy of International Search Report (see Information Disclosure Statement)

08569340-050607

EP95/03451

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17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- Search Report has been prepared by the EPO or JPO \$910.00
- International preliminary examination fee paid to USPTO (37 CFR 1.482) \$700.00
- No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$770.00
- Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,040.00
- International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 910.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	24 - 20 =	4	X \$22.00
Independent claims	1 - 3 =	0	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		0	+ \$260.00

\$ 88.00

\$ 0

\$ 0

~~Preliminary Amendment deleting multiple dependent claims enclosed~~ **TOTAL OF ABOVE CALCULATIONS =**

\$

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$ 998.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 998.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$ 998.00

Amount to be: refunded

\$

charged

\$

- a. ☒ A check in the amount of \$ 998.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0415. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

LADAS & PARRY
5670 Wilshire Boulevard, #2100
Los Angeles, California 90036-5679
Telephone No.: (213) 934-2300
Telefax No.: (213) 934-0202

SIGNATURE:

Richard P. Berg

NAME

28,145

REGISTRATION NUMBER

89 Rec'd PCT/PTO 12 MAR 1997

IN THE UNITED STATES DESIGNATED OFFICE DO/US)

REGARDING THE INTERNATIONAL APPLICATION OF PIETRO PADOVANI

ENTITLED: "THERMOFORMING APPARATUS FOR THERMOFORMABLE MATERIALS IN RIBBON OR SHEET-FORM"

INTERNATIONAL APPLN.NO. PCT/EP95/03451

Our Ref: B-3289PCT 615920-5

To the United States Designated Office (DO/US)

Commissioner of Patents and Trademarks
Washington, D.C. 20231

BOX PCT

INTERNATIONAL STAGE EXPRESS MAIL CERTIFICATE

"Express Mail" label number EM407570175US

Date of Deposit: March 12, 1997

I hereby certify that the following attached papers or fee

- postcard
- check for \$998.00
- Transmittal Letter (2 pages)
- copy of PCT/IB/308
- Preliminary Amendment (3 pages)
- Copy of Published International Application
- Disclosure of Information Statement (PTO FORM 1449) with cover sheet and copy of International Search Report
- copy of Chapter II Demand
- copy of Written Opinion
- copy of Response to Written Opinion
- copy of International Preliminary Examination Report

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, BOX PCT, Washington, D.C. 20231.

Diane Shapiro

(Typed or printed name of person mailing paper or fee)

Diane Shapiro
(Signature)

Rec'd PCT/PTO 12 MAR 1997

08/809340

EXPRESS MAIL EM407570175US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: PADOVANI, Pietro

Re: Disclosure of
Information

Serial No.:

Our Ref: B-3289PCT 615920-5

Filed Concurrently herewith

Date: March 12, 1997

International Appln. No.
PCT/EP95/03451

International Filing Date:
01 SEPTEMBER 1995

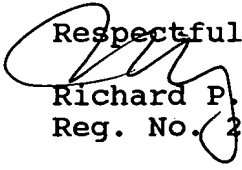
For: "THERMOFORMING APPARATUS
FOR THERMOFORMABLE MATERIALS
IN RIBBON OR SHEET-FORM"

Hon. Commissioner of Patents and
Trademarks
Box PCT
Washington, D.C. 20231

Sir:

In accordance with applicant's duty to disclose information which may be material to the examination of this application, PTO-1449 form is attached hereto setting forth the references cited in the International Search Report of the subject PCT application. A copy of the International Search Report is also enclosed. Copies of the references cited in the International Search Report will be provided at a late date.

Respectfully submitted,


Richard P. Berg
Reg. No. 28,145

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5670 Wilshire Boulevard
#2100
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(213) 934-2300